

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

CHRISTOPHER LEE CRAWFORD,
Plaintiff,
v.
T. COMBS, et al.,
Defendants.

Case No. 17-cv-03089-YGR (PR)

ORDER GRANTING DEFENDANTS' RENEWED MOTION FOR SUMMARY JUDGMENT; AND ADDRESSING ALL PENDING MOTIONS

I. INTRODUCTION

Plaintiff, a state prisoner currently incarcerated at California State Prison - Sacramento, has filed the instant *pro se* civil rights action pursuant to 42 U.S.C. § 1983. The operative complaint in this action is the amended complaint, in which Plaintiff alleges constitutional rights violations at Pelican Bay State Prison (“PBSP”) where he was previously incarcerated. Dkt. 28 at 1-2.¹

Plaintiff seeks declaratory relief as well as monetary and punitive damages against the following Defendants at PBSP and the California Department of Corrections and Rehabilitation (“CDCR”): CDCR Secretary Scott Kernan; PBSP Sergeant T. Combs; PBSP Captain M. Townsend; and PBSP Correctional Officers C. Oviatt and T. Spradlin. Dkt. 28 at 1-4; Dkt. 27 at 1. Specifically, Plaintiff alleged that Defendants Combs, Oviatt, and Townsend “deliberately question[ed] [Plaintiff] in front of other General Population inmates stating do you want to go [to the] [Sensitive Needs Yard (“SNY”)]² were done maliciously and sadistically [sic].” Dkt. 28 at 1. Plaintiff further alleged that Defendants Combs and Oviatt “fail[ed] to protect [Plaintiff’s] reputation by violating his right for confidentiality” and were “willful[ly] deliberate[ly] indifferen[t] to the plaintiff [sic] safety.” *Id.*

The Court found that, liberally construed, Plaintiff stated a cognizable Eighth Amendment

¹ Page number citations refer to those assigned by the Court's electronic case management filing system and not those assigned by the parties.

² The Sensitive Needs Yard, which is also referred to as the *Special Needs Yard*, is housing for inmates who need to be segregated from the general population due to their safety needs. Combs Decl. ¶4.

1 claim that Defendants Combs, Spradlin, Oviatt, and Townsend were deliberately indifferent to
2 Plaintiff's safety needs when they allegedly questioned him in front of other inmates about
3 whether he wanted to be housed in the SNY. Dkt. 31 at 3. The Court ordered service on Combs,
4 Spradlin, Oviatt, and Townsend. *Id.* at 4. The Court dismissed without prejudice Plaintiff's
5 supervisory liability claim against Defendant Kernan. *Id.* at 3. The Court directed the Clerk of the
6 Court to serve the amended complaint and issued a briefing schedule for the served Defendants to
7 file a dispositive motion. *See id.* at 4-7.

8 Defendants Combs, Spradlin, Oviatt, and Townsend (hereinafter "Defendants") initially
9 filed a motion for summary judgment. Dkt. 41. Plaintiff filed three motions for extension of time
10 to file an opposition. Dkts. 43, 51, 55. Plaintiff was directed to file his opposition by January 20,
11 2020—after being granted three extensions of time to do so. *See* Dkt. 56. Instead, Plaintiff filed a
12 document entitled, "Memorandum of Points and Authorities in Support of Motion for
13 Continuance." Dkt. 59. In an Order dated March 4, 2020, the Court construed Plaintiff's
14 aforementioned filing as a request under Federal Rule of Civil Procedure 56(d), and it granted his
15 request. *See Garrett v. San Francisco*, 818 F.2d 1515, 1518 (9th Cir. 1987) (discovery motion
16 was sufficient to raise issue of whether plaintiff was entitled to relief under Fed. R. Civ. P.
17 56([d])).³ Dkt. 61 at 2-4. In the same Order, the Court denied Defendants' initial motion for
18 summary judgment "without prejudice to them filing a renewed motion for summary judgment
19 after the parties have conducted discovery." *Id.* at 2. Thereafter, Defendants timely served
20 discovery responses to Plaintiff's discovery demands. *See* June 18, 2020 Lyons Decl. at ¶ 4.

21 On March 19, 2019, Defendants filed the instant renewed motion for summary judgment.
22 Dkt. 72. They argue that Plaintiff's claims fail because: (1) he failed to administratively exhaust
23 his remedies as to Defendants Townsend and Oviatt; (2) section 1983 liability requires personal
24 participation and there is no evidence Defendants Oviatt and Townsend were involved in the
25 alleged conduct; (3) Defendants were not deliberately indifferent to Plaintiff's safety needs;

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³ *Garrett* cites to Rule 56(f), the subsection in which the provisions pertaining to a party's
28 inability to present facts essential to justify its opposition formerly were set forth; as of December
1, 2010, the applicable provision is Rule 56(d). *See* Fed. R. Civ. P. 56.

1 (4) Defendants are entitled to qualified immunity; and (5) Plaintiff is not entitled to punitive
2 damages. *Id.* at 6-8.

3 Plaintiff opposes the renewed motion for summary judgment. Dkt. 83. Additionally, he
4 has filed additional motions to compel discovery and for appointment of counsel. Dkts. 63, 66,
5 75.

6 Defendants filed their reply. Dkts. 87. Additionally, Defendants filed an Administrative
7 Motion to File Under Seal. Dkt. 71.

8 For the reasons outlined below, the Court GRANTS Defendants' renewed motion for
9 summary judgment and addresses all pending motions below.

10 **II. PRELIMINARY ISSUES**

11 **A. Defendants' Administrative Motion to File Under Seal**

12 On June 1, 2020, Defendants filed under seal Exhibits A, B, C, and D attached to the July
13 17, 2019 Declaration of W. Reynolds, and they moved under Local Rule 79-5(g) to file the records
14 under seal for ten years from the date the case is closed. *See* Dkt. 71. The Court has reviewed
15 Defendants' Administrative Motion to File Under Seal and the declaration filed in support and,
16 good cause appearing, it GRANTS Defendants' motion.⁴ The Court further orders that Exhibits
17 A, B, C, and D attached to the July 17, 2019 Declaration of W. Reynolds shall be maintained
18 under seal and *not* entered individually on the docket until the conclusion of this case and any
19 appellate proceedings, after which time they should be returned to defense counsel upon timely
20 request.

21 **B. Plaintiff's Motion to Compel**

22 Plaintiff has filed two additional motions to compel discovery. *See* Dkts. 63, 75. In his
23 March 26, 2020 motion entitled, "Motion for an Order Compelling Discovery," Plaintiff made
24 several discovery demands. Dkt. 63. In their response to the March 26, 2020 motion to compel,
25 Defendants state that they assumed that Plaintiff "may have misinterpreted the Federal Rules of

26
27 ⁴ The Court has reviewed the sealed documents submitted in this matter, and in the instant
Order it will only cite to *general factual information*, which is not so sensitive that having such
28 information in the public record would endanger Plaintiff.

1 Civil Procedure and the Court’s Discovery Order on how to properly serve and propound
2 discovery.” May 14, 2020 Lyons Decl. ¶ 4. Thus, Defendants interpreted the March 26, 2020
3 motion to compel as Plaintiff’s “attempt to propound and serve his discovery demands on
4 Defendants.” *Id.* Thus, Defendants “timely served [Plaintiff] with their response to his discovery
5 demands on April 16, 2020 . . .” *Id.*; *see also* June 18, 2020 Lyons Decl. ¶ 4, Ex. A. Because
6 Defendants responded to his discovery demands, Plaintiff’s March 26, 2020 motion to compel is
7 DENIED as unnecessary. Dkt. 63.

8 Meanwhile in his May 29, 2020 motion, entitled, “Motion for an Order Compelling
9 Discovery,” Plaintiff again makes several discovery demands, some of which are similar to those
10 in his March 26, 2020 motion. *See* Dkt. 75. Defendants oppose the May 29, 2020 motion on the
11 grounds that it is untimely and Plaintiff failed to meet and confer with Defendants prior to filing
12 the motion. Dkt. 78 at 2-3; June 18, 2020 Lyons Decl. ¶ 2. The Federal Rules of Civil Procedure
13 provide that a party seeking discovery may move for an order compelling an answer, designation,
14 production, or inspection if, among other things, a party fails to produce documents as requested
15 under Rule 34. *See* Fed. R. Civ. P. 37(a). However, only when the parties have a discovery
16 dispute that they cannot resolve among themselves should they ask the Court to intervene in the
17 discovery process. The Court does not have time or resources to oversee all discovery and
18 therefore requires that the parties present to it only their very specific disagreements. A plaintiff
19 must first attempt to meet and confer with the defendants by sending them a subsequent letter
20 demanding a response and notifying them of his intention to file a motion to compel. Here, the
21 record shows that Plaintiff did not serve Defendants with any request for admission nor did he
22 meet and confer with Defendants. Thus, the Court agrees with Defendants, and it DENIES his
23 May 29, 2020 motion on that ground.

24 Alternatively, Defendants claim that the May 29, 2020 motion should be denied on the
25 merits because they “properly responded or objected to each of [Plaintiff’s] requests, even though
26 [Plaintiff] failed to actually serve any discovery requests” on Defendants.” Dkt. 78 at 1-2. In an
27 abundance of caution, the Court now considers Defendants arguments relating to Plaintiff’s
28 discovery requests for production of documents 1 through 19 in his May 29, 2020 motion, as

1 follows:

2 (1) Defendants claim they timely provided substantive responses to Plaintiff's requests
3 for production of documents 1, 2, 18, and 19, as demonstrated by their attached discovery
4 responses. *See June 18, 2020 Lyons Decl., Ex. A.* Specifically, Defendants claim they
5 "conducted a diligent search and reasonable inquiry for the documents requested in requests 1 and
6 18; however, no responsive documents were found." Dkt. 78 at 4. Although request 2 involved
7 an unrelated request for law library rules and regulations, Defendants nevertheless performed a
8 search and produced the requested documents. *See June 18, 2020 Lyons Decl., Ex. A.* Finally,
9 Defendants produced one document responsive to request 19 and provided a privilege log and
10 declaration to support Defendants' official information privilege objection because the two
11 remaining responsive⁵ documents could not be disclosed to Plaintiff for safety and security
12 reasons. *See June 18, 2020 Lyons Decl., Exs. A and B.* Thus, the Court DENIES Plaintiff's May
13 29, 2020 motion to compel as to requests 1, 2, 18, and 19 because the record shows that
14 Defendants have already provided substantive responses and produced documents pertaining to
15 these requests.

16 (2) Defendants point out that requests 3-12 pertain solely to non-parties and claims that
17 Plaintiff incorrectly maintains are related to the instant matter. Dkt. 78 at 5. Specifically, these
18 requests for production of documents center on Plaintiff's unrelated allegations from his proposed
19 supplemental complaint relating to law library or mail room issues at California State Prison -
20 Sacramento in 2018 and 2019. *See Dkt. 51-1.* As mentioned above, the instant action relates to
21 Plaintiff's alleged safety concerns stemming from an April 10, 2016 incident at PBSP. *See Dkt.*
22 28. This Court has denied Plaintiff's previous attempt to supplement his complaint to add these
23 unrelated mail room and law library allegations and defendants. *See Dkt. 54 at 3-4.* Therefore,
24 the Court DENIES Plaintiff's May 29, 2020 motion to compel as to requests 3-12 because
25 Defendants properly objected to these requests as not relevant to any party's claims or defenses,
26 and not proportional to the needs of the case. *See Fed. R. Civ. P. 26(b)(1)* (party seeking

27 ⁵ Defendants have informed the Court that the two privileged documents are the same
28 documents the Defendants have filed under seal with the instant motion. Dkt. 78 at 4 fn. 1.

1 discovery of relevant, non-privileged information must show that the discovery sought is
2 proportional to the needs of the case).

3 (3) And finally, Defendants argue that requests 13-17 are irrelevant because they
4 involve requests for documents related to *other inmates* at PBSP and their private and confidential
5 files and medical records (e.g., rules violation reports, incident reports about these inmates'
6 assaults, and mental health evaluations). Dkt. 78 at 5-6. Defendants also point out that “[f]urther
7 demonstrating the irrelevance of these requests, [Plaintiff] requested records from 2018 and 2019,
8 even though the incident at the center of [the instant action] occurred in 2016.” *Id.* at 6. The
9 Court finds that Defendants properly objected to these requests as not relevant to any party’s
10 claims or defenses, and not proportional to the needs of the case. *See Fed. R. Civ. P. 26(b)(1).*
11 Defendants also properly objected to these requests as being in violating of non-party individuals’
12 privacy rights as Defendants are prohibited from disclosing private information to another inmate.
13 *See Cal. Code Regs. tit. 15, § 3370(b)* (“Except by means of valid authorization, subpoena, or
14 court order, no inmate or parolee shall have access to another’s case records file, unit health
15 records, or component thereof.”) Therefore, the Court DENIES Plaintiff’s May 29, 2020 motion
16 to compel as to requests 13-17.

17 Accordingly, for the reasons outlined above, Plaintiff’s May 29, 2020 motion to compel is
18 DENIED both on the merits and based on Plaintiff’s failure to meet and confer with Defendants.
19 Dkt. 75.

20 **C. Plaintiff’s Motion for Appointment of Counsel**

21 Plaintiff informs the Court he requests appointment of counsel because his imprisonment
22 “greatly limit[s] his ability to litigate,” and he has “limited access to the law library and limited
23 knowledge of the law.” Dkt. 66.

24 The decision to request counsel to represent an indigent litigant under section 1915 is
25 within “the sound discretion of the trial court and is granted only in exceptional circumstances.”
26 *Franklin v. Murphy*, 745 F.2d 1221, 1236 (9th Cir. 1984). A finding of the “exceptional
27 circumstances” requires an evaluation of the likelihood of the plaintiff’s success on the merits and
28 an evaluation of the plaintiff’s ability to articulate his claims *pro se* in light of the complexity of

1 the legal issues involved. *See Agyeman v. Corrections Corp. of America*, 390 F.3d 1101, 1103
2 (9th Cir. 2004); *Terrell v. Brewer*, 935 F.2d 1015, 1017 (9th Cir. 1991); *Wilborn v. Escalderon*,
3 789 F.2d 1328, 1331 (9th Cir. 1986). Both of these factors must be viewed together before
4 reaching a decision on a request for counsel under section 1915. *See id.*

5 Here, the Court finds that Plaintiff has adequately articulated his claims and, as discussed
6 below, Plaintiff is unlikely to succeed on the merits. Therefore, the Court DENIES Plaintiff's
7 motion for appointment of counsel. Dkt. 66.

8 **III. DEFENDANTS' RENEWED MOTION FOR SUMMARY JUDGMENT**

9 The Court now turns to the remaining pending motion in this action: Defendants' renewed
10 motion for summary judgment on the grounds that Plaintiff cannot establish facts that would
11 support his claims of deliberate indifference to his safety needs in violation of his Eighth
12 Amendment rights. Dkt. 72.

13 Defendants claim that “[t]his case is not about [Plaintiff's] alleged safety concerns of being
14 attacked by other inmates.” *Id.* Instead, Defendants argue as follows:

15 This case stems from [Plaintiff's] attempts to be re-celled or
16 transferred out of [PBSP] because he wanted a new cellmate and was
17 dissatisfied with the prison staff at [PBSP]. The undisputed facts
18 reveal that prison staff responded to his concerns and requests
19 reasonably, diligently, and thoroughly. [PBSP] correctional staff took
20 [Plaintiff's] safety concerns seriously by interviewing him,
21 conducting investigations, and placing him in protective custody
during those investigations. They retained him in protective custody
even after those investigations suggested his safety concerns did not
appear to come from an external source at the prison, but might be
relative to his mental health. With these concerns, staff referred him
to mental health services to make sure he would be evaluated and
placed in appropriate housing and programming.

22 Dkt. 72 at 7.

23 As mentioned above, Plaintiff has alleged in his amended complaint that Defendants
24 Combs, Oviatt, and Townsend “deliberately question[ed] [Plaintiff] in front of other General
25 Population inmates stating do you want to go [to the SNY] were done maliciously and sadistically
26 [sic].” Dkt. 28 at 1. The Court found that Plaintiff's allegations state a cognizable Eighth
27 Amendment claim against Defendants. Dkt. 31 at 3. However, in the instant renewed motion,
28 Defendants argue that Plaintiff's claim fails because: (1) Plaintiff failed to exhaust administrative

1 remedies under the Prison Litigation Reform Act of 1995, 42 U.S.C. § 1997e(a), as to his Eighth
2 Amendment claims against Defendants Townsend and Oviatt; (2) Section 1983 liability requires
3 personal participation, and there is no evidence Defendants Oviatt and Townsend were involved in
4 the alleged conduct; (3) Defendants were not deliberately indifferent to Plaintiff's safety needs;
5 (4) Defendants are entitled to qualified immunity; and (5) Plaintiff is not entitled to punitive
6 damages. Dkt. 72 at 8.

7 **A. Factual Background⁶**

8 **1. The Parties**

9 At the time of the events set forth in his amended complaint, Plaintiff was an inmate
10 housed in PBSP. *See* Dkt. 28 at 1-2; *see also* Dkt. 1 at 5.

11 Defendants Oviatt and Spradlin were correctional officers, Defendant Combs was a
12 sergeant⁷, and Defendant Townsend was a captain⁸ at PBSP. Oviatt Decl. ¶ 1.; Spradlin Decl. ¶ 1.;
13 Combs Decl. ¶ 1.; Townsend Decl. ¶ 1.

14 **2. Plaintiff's Version**

15 The following summary of Plaintiff's claims is taken from the Court's January 14, 2019
16 service order, which states as follows:

17 In the caption of his amended complaint, Plaintiff makes reference to a certain grievance,
18 log no. PBSP 16-1700, in which he allegedly exhausted his administrative remedies as to his
19 claims in this action. *See* Dkt. 21-3 at 79-96 (Pl.'s Ex. N-5 - log no. PBSP 16-1700). However,
20 upon reviewing log no. PBSP 16-1700, the Court notes that grievance was an appeal of the
21 cancelation of another grievance, log no. PBSP 16-1605, which is the actual grievance that

22
23 ⁶ This Order contains a few acronyms. Here, in one place, they are:
24
25 ASU Administrative Segregation Unit
26 CDCR California Department of Corrections and Rehabilitation
27 PBSP Pelican Bay State Prison
28 SNY Sensitive Needs Yard (also known as Special Needs Yard)

29
30 ⁷ Defendant Combs is currently a Lieutenant at PBSP. Combs Decl. ¶ 1.

31
32 ⁸ Defendant Townsend has since retired. Townsend Decl. ¶ 1.

1 contains the same allegations as the instant action. *See id.* at 82, 84; *see also id.* at 89-94 (Pl.'s Ex.
2 N-5 - log no. PBSP 16-1605). The record shows that log no. PBSP 16-1605 was "cancelled
3 because it was construed as a duplicate of an earlier grievance, log no. PBSP 16-00728⁹." *See id.*
4 at 89, 91; *see also* Dkt. 21-3 at 1-19 (Pl.'s Ex. N-1 - log no. PBSP 16-00728). Therefore, the
5 Court has reviewed these related grievances as well as the amended complaint in order to
6 determine the basis of his claims in this action.

7 Plaintiff's claims arise from Defendants' alleged deliberate indifference to his safety needs
8 stemming from an incident on April 13, 2016 in which Defendants Combs and Spradlin were
9 interviewing Plaintiff relating to "his alleged safety concerns" when they "deliberately forced
10 Plaintiff out of his cell" and asked him in front of other inmates whether he wanted to be housed in
11 the SNY. Dkt. 28 at 1-2; Dkt. 27 at 2-3; Dkt. 21-3 at 93 (Pl.'s Ex. N-5). Plaintiff claims this
12 "allow[ed] other inmates to think [he] snitched on inmates [in] the A-facility [and] plac[ed]
13 him . . . in jeopardy of being assaulted by general population inmates." Dkt. 27 at 3. In his
14 amended complaint, Plaintiff also alleges that Defendants Oviatt and Townsend took part in
15 "conspiracies to entrap[] [him] [by] deliberately questioning him in front of other general
16 population inmates" regarding his desire to be housed in the SNY. Dkt. 28 at 1.

17 **3. Defendants' Version**

18 **a. Plaintiff's March 28, 2016 Safety Concerns**

19 Plaintiff claimed that on March 28, 2016, he asked to speak with a sergeant after he refused
20 to take his prescribed medicine. Townsend Decl. ¶ 4, Ex. A. He claimed he spoke to "(Sgt.)
21 Schrag and a female (Sgt.) . . . her name is unknown." *Id.* Plaintiff alleged that he told the
22 sergeants, "I can't program on A-yard, because unknown inmates want me to move out of A3, and
23 further wants me off [sic] the yard." *Id.* Plaintiff claimed that inmates on A-yard saw him talking
24 to these two sergeants and called him a "snitch." *Id.* However, Plaintiff also testified at his
25 deposition that the true reason he asked to speak with a sergeant that day was because he wanted
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27 ⁹ Upon reviewing log no. PBSP 16-00728, the Court notes the claims raised in that
28 grievance relate to a March 28, 2016 incident involving PBSP Sergeant J. Schrag's refusal to
remove Plaintiff from Facility A even though Plaintiff claimed his life was in danger. *See* Dkt.
23-3 at 3, 7, 9.

1 to get rid of his cellmate, with whom he was incompatible, but did not have any safety concerns
2 about his cellmate. May 29, 2020 Lyons Decl. ¶ 3, Ex. A at 14-16, 21-24.

3 On or around April 3, 2016, Plaintiff submitted an inmate appeal, assigned Log No. PBSP-
4 16-00728 complaining of this exchange with Sergeant Schrag. Townsend Decl. ¶ 4, Ex. A. The
5 appeal was accepted at the first level of review, and Defendant Townsend, as the Appeals
6 Coordinator, interviewed Plaintiff on May 17, 2016 about his concerns. Townsend Decl. ¶ 4.
7 During the interview, Plaintiff told Defendant Townsend that his appeal stands and that he had no
8 new information to add. *Id.* This Appeal was reviewed at the First Level but was cancelled at the
9 Second Level Review on April 20, 2016 under the CDCR Operations Manual Section 54100.25.1
10 because Plaintiff refused to sign the CDCR Form 1858 Rights and Responsibility Statement.
11 Plaintiff did not challenge this cancellation through the appeals process. Royal Decl. ¶¶ 11-12;
12 Townsend Decl. ¶ 5, Ex. C.

13 **b. Plaintiff's March 31, 2016 Placement in ASU**

14 As a result of Plaintiff's self-expressed safety concerns, he was moved on March 31, 2016
15 to the Administrative Segregation Unit ("ASU"), a protective custody housing unit in PBSP,
16 which prison staff investigated his safety concerns. Combs Decl. ¶ 6.

17 When an inmate's presence in an institution's general population presents an immediate
18 threat to the safety of the inmate or others, endangers institution security, or jeopardizes the
19 integrity of an investigation of an alleged serious misconduct or criminal activity, the inmate shall
20 be immediately removed from general population and placed in ASU. Cal. Code Regs. tit. 15,
21 § 3335 (2016).

22 Plaintiff remained in ASU with single-cell status until he transferred out of PBSP on
23 September 22, 2016. July 16, 2019 Reynolds Decl. ¶ 3, Ex. A. When an inmate does not have a
24 cellmate in ASU, that inmate will never be in contact with other inmates: he lives alone in a cell,
25 goes to yard for exercise in his own enclosed area, is escorted by officers when he is not in his
26 cell, and showers in a single-person shower. Combs Decl. ¶ 6. This means that throughout
27 Plaintiff's time at PBSP from March 31, 2016 onward, he remained in single-cell protective
28 custody, and was never placed within physical reach of another inmate or returned to general

1 population. *Id.*

2 **c. Defendant Combs's April 2016 Interview of Plaintiff in ASU**

3 As part of the investigation into Plaintiff's self-expressed safety concerns in ASU,
4 Defendant Combs interviewed him on April 10, 2016. Combs Decl. ¶ 7. Plaintiff testified that
5 this interview is the subject incident that forms the basis of his operative complaint. May 29, 2020
6 Lyons Decl. ¶ 3, Ex. A at 56. During this interview, Plaintiff expressed vague safety concerns, but
7 he refused to be placed in the SNY. Combs Decl. ¶¶ 7-8, Ex. A. After interviewing Plaintiff,
8 Defendant Combs concluded that Plaintiff's safety concerns were unsubstantiated, and he referred
9 Plaintiff for a mental health evaluation. Combs Decl. ¶ 8, Ex. B.

10 Pursuant to CDCR policy, Defendant Combs submitted a Mental Health Referral Chrono
11 so that Plaintiff's mental health status would be evaluated by mental health professionals and
12 considered for Plaintiff's future housing and program placement. CDCR Dep't Operations
13 Manual Section 52080.32 (2016); Combs Decl. ¶ 8, Ex. B.

14 **d. 602 Inmate Appeal Regarding April 2016 Interview**

15 On or around April 27, 2016, Plaintiff filed a CDCR 602 Inmate/Parolee Appeal, log
16 number PBSP-16-016054. Royal Decl. ¶ 9, Ex. B. In it, Plaintiff complained of an incident that
17 occurred on April 10, 2016 when Defendants Combs and Spradlin came to Plaintiff's cell in ASU
18 and allegedly asked him "in earshot" of other ASU inmates whether Plaintiff wanted to go to the
19 SNY. Royal Decl. ¶ 9, Ex. B. After Plaintiff refused SNY placement, Defendants Combs and
20 Spradlin allegedly returned Plaintiff to his cell. Royal Decl. ¶ 9, Ex. B. In his deposition, Plaintiff
21 concedes that this appeal reflects the same conversation at issue in the instant litigation. May 29,
22 2020 Lyons Decl. ¶ 3, Ex. A at 36, 56-60.

23 **B. Legal Standard for Summary Judgment**

24 Summary judgment is proper where the pleadings, discovery, and affidavits demonstrate
25 that there is "no genuine issue as to any material fact and that the moving party is entitled to
26 judgment as a matter of law." Fed. R. Civ. P. 56(c). Material facts are those that may affect the
27 outcome of the case. *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 248 (1986). A dispute as to a
28 material fact is genuine if there is sufficient evidence for a reasonable jury to return a verdict for

1 the nonmoving party. *Id.*

2 The party moving for summary judgment bears the initial burden of identifying those
3 portions of the pleadings, discovery, and affidavits that demonstrate the absence of a genuine issue
4 of material fact. *Celotex Corp. v. Catrett*, 477 U.S. 317, 323 (1986). Where the moving party will
5 have the burden of proof on an issue at trial, it must demonstrate affirmatively that no reasonable
6 trier of fact could find other than for the moving party. But on an issue for which the opposing
7 party will have the burden of proof at trial, the moving party need only point out “that there is an
8 absence of evidence to support the nonmoving party’s case.” *Id.* at 325.

9 Once the moving party meets its initial burden, the nonmoving party must go beyond the
10 pleadings and, by its own affidavits or discovery, “set forth specific facts showing that there is a
11 genuine issue for trial.” Fed. R. Civ. P. 56(e). The court is concerned only with disputes over
12 material facts and “[f]actual disputes that are irrelevant or unnecessary will not be counted.”
13 *Anderson*, 477 U.S. at 248. It is not the task of the court to scour the record in search of a genuine
14 issue of triable fact. *Keenan v. Allan*, 91 F.3d 1275, 1279 (9th Cir. 1996). The nonmoving party
15 has the burden of identifying, with reasonable particularity, the evidence that precludes summary
16 judgment. *Id.* If the nonmoving party fails to make this showing, “the moving party is entitled to
17 a judgment as a matter of law.” *Celotex*, 477 U.S. at 323.

18 For purposes of summary judgment, the court must view the evidence in the light most
19 favorable to the nonmoving party; if the evidence produced by the moving party conflicts with
20 evidence produced by the nonmoving party, the court must assume the truth of the evidence
21 submitted by the nonmoving party. *See Leslie v. Grupo ICA*, 198 F.3d 1152, 1158 (9th Cir. 1999).

22 A district court may consider only admissible evidence in ruling on a motion for summary
23 judgment. *See Fed. R. Civ. P. 56(e); Orr v. Bank of America*, 285 F.3d 764, 773 (9th Cir. 2002).

24 In support of their dispositive motion, Defendants have presented their own declarations
25 and supporting exhibits, as well as declarations and supporting exhibits from the following: PBSP
26 Office Technician C. Gotfried; Defendants’ attorney Deputy Attorney General Le-Mai D. Lyons
27 (dated May 29, 2020); PBSP Litigation Coordinator W. Reynolds (dated July 16, 2019); and PBSP
28 Appeals Coordinator K. Royal. Dkts. 72-3 – 72-10.

1 Meanwhile, Plaintiff filed a verified amended complaint. Dkt. 28. However, the Court
2 will not consider Plaintiff's unverified opposition¹⁰ because he failed to sign it under penalty of
3 perjury. *See* Dkt. 83. The Court may treat the allegations in the verified amended complaint as
4 an opposing affidavit to the extent such allegations are based on Plaintiff's personal knowledge
5 and set forth specific facts admissible in evidence. *See Schroeder v. McDonald*, 55 F.3d 454, 460
6 & nn.10-11 (9th Cir. 1995) (treating a plaintiff's verified complaint as opposing affidavit where,
7 even though verification not in conformity with 28 U.S.C. § 1746, he stated under penalty of
8 perjury that contents were true and correct, and allegations were not based purely on his belief but
9 on his personal knowledge). However, "self-serving affidavits are cognizable to establish a
10 genuine issue of material fact so long as they state facts based on personal knowledge and are not
11 too conclusory." *Rodriguez v. Airborne Express*, 265 F.3d 890, 902 (9th Cir. 2001). Plaintiff's
12 deposition testimony, as submitted by Defendants, will also be considered. *See* May 29, 2020
13 Lyons Decl., Ex. A.

14 **C. Analysis of Deliberate Indifference Claim Against Defendants**

15 Plaintiff alleges that all named Defendants were deliberately indifferent to his safety needs
16 by inquiring about his status as a SNY inmate in front of other inmates. Dkt. 28 at 1.

17 Defendants argue that they are entitled to qualified immunity because no reasonable officer
18 would have known that interviewing him while he was in protective custody in ASU with single-
19 cell status and asking him if he wanted to go to the SNY would have put him at substantial risk of
20 serious harm, even if the interview was done within earshot of other inmates. Dkt. 72 at 23.
21 Defendants point out that Plaintiff "was never at risk of serious harm because he was already in
22 protective custody and would not have been accessible by another inmate." *Id.* Defendants
23 further argue that "[t]he fact that he was neither assaulted nor in fear of being assaulted by other

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25 ¹⁰ The Court notes that Plaintiff's opposition brief as filed is a total of seventy pages long.
26 Dkt. 83. However, it appears that every other (even) page in the filing is an unrelated page of
27 miscellaneous medical records. *See id.* Even upon reviewing those unrelated pages, the Court
28 notes that no verification exists to indicate that his opposition was signed under penalty of perjury.
See id. In any event, the bulk of the opposition discusses non-party individuals and various
unrelated allegations, *see id.*, which the Court has already ruled that Plaintiff may not supplement
or add to the operative complaint in this action, *see* Dkt. 54 at 2-4.

1 inmates after the subject interview further supports this conclusion.” *Id.*

2 The defense of qualified immunity protects government officials “from liability for civil
3 damages insofar as their conduct does not violate clearly established statutory or constitutional
4 rights of which a reasonable person would have known.” *Harlow v. Fitzgerald*, 457 U.S. 800, 818
5 (1982). To determine whether an official is entitled to qualified immunity, the court must decide
6 whether the facts alleged show the official’s conduct violated a constitutional right; and, if so,
7 whether it would be clear to a reasonable officer that his conduct was unlawful in the situation he
8 confronted. *See Saucier v. Katz*, 533 U.S. 194, 201-02 (2001); *see also Pearson v. Callahan*, 555
9 U.S. 223 (2009) (overruling *Saucier*’s requirement that qualified immunity analysis proceeds in a
10 particular sequence). “If no constitutional right would have been violated were the allegations
11 established, there is no necessity for further inquiries concerning qualified immunity.” *Saucier*,
12 533 U.S. at 201.

13 The threshold question in qualified immunity analysis is: “Taken in the light most
14 favorable to the party asserting the injury, do the facts alleged show the officer’s conduct violated
15 a constitutional right?” *Id.* A court considering a claim of qualified immunity must determine
16 whether the plaintiff has alleged the deprivation of an actual constitutional right and whether such
17 right was “clearly established.” *Pearson*, 555 U.S. at 236-37. Where there is no clearly
18 established law that certain conduct constitutes a constitutional violation, the defendant cannot be
19 on notice that such conduct is unlawful. *Rodis v. City and County of S.F.*, 558 F.3d 964, 970 (9th
20 Cir. 2009). The relevant, dispositive inquiry in determining whether a right is clearly established
21 is whether it would be clear to a reasonable defendant that his conduct was unlawful in the
22 situation he confronted. *Saucier*, 533 U.S. at 202.

23 The Eighth Amendment requires that prison officials take reasonable measures to
24 guarantee the safety of prisoners. *Farmer v. Brennan*, 511 U.S. 825, 832 (1994). In particular,
25 prison officials have a duty to protect prisoners from violence at the hands of other prisoners. *Id.*
26 at 833; *Cortez v. Skol*, 776 F. 3d 1046, 1050 (9th Cir. 2015); *Hearns v. Terhune*, 413 F.3d 1036,
27 1040 (9th Cir. 2005); *Gillespie v. Civiletti*, 629 F.2d 637, 642 & n.3 (9th Cir. 1980). The failure of
28 prison officials to protect inmates from attacks by other inmates or from dangerous conditions at

1 the prison violates the Eighth Amendment when two requirements are met: (1) the deprivation
2 alleged is, objectively, sufficiently serious; and (2) the prison official is, subjectively, deliberately
3 indifferent to inmate health or safety. *Farmer*, 511 U.S. at 834. A prison official is deliberately
4 indifferent if he knows of and disregards an excessive risk to inmate health or safety by failing to
5 take reasonable steps to abate it. *Id.* at 837.

6 A prisoner may state a section 1983 claim under the Eighth Amendment against prison
7 officials only where the officials acted with “deliberate indifference” to the threat of serious harm
8 or injury to an inmate by another prisoner, *Berg v. Kincheloe*, 794 F.2d 457, 459 (9th Cir. 1986);
9 *see also Valandingham v. Bojorquez*, 866 F.2d 1135, 1138 (9th Cir. 1989) (deliberately spreading
10 rumor that prisoner is snitch may state claim for violation of right to be protected from violence
11 while in state custody), or by physical conditions at the prison. The official must both be aware of
12 facts from which the inference could be drawn that a substantial risk of serious harm exists, and he
13 must also draw the inference. *See Farmer*, 511 U.S. at 837. However, an Eighth Amendment
14 claimant need not show that a prison official acted or failed to act believing that harm actually
15 would befall an inmate; it is enough that the official acted or failed to act despite his knowledge of
16 a substantial risk of serious harm. *See id.* at 842; *see also Lemire v. Cal. Dept. Corrections &*

17 *Rehabilitation*, 726 F.3d 1062, 1078 (9th Cir. 2013) (articulating two-part test for deliberate
18 indifference: plaintiff must show, first, that risk was obvious or provide other evidence that prison
19 officials were aware of the substantial risk to the inmates’ safety, and second, no reasonable
20 justification for exposing inmates to risk). This is a question of fact. *Farmer*, 511 U.S. at 842;
21 *see, e.g., Cortez*, at 1050-52 (reversing grant of summary because, when viewed in the light most
22 favorable to plaintiff, sufficient evidence showed (1) that undermanned escort by one prison guard
23 of three mutually hostile, half-restrained, high-security inmates through an isolated passage posed
24 a substantial risk of harm; and (2) that escorting officer was aware of the risk involved); *Labatad*
25 *v. Corrections Corp. of America*, 714 F.3d 1155, 1160 (9th Cir. 2013) (finding no deliberate
26 indifference to prisoner’s safety where the record, viewed objectively and subjectively, did not
27 lead to an inference that the prison officials responsible for making the prisoner’s cell assignment
28 were aware that he faced a substantial risk of harm).

1 A trier of fact may conclude that a prison official knew of a substantial risk from the very
2 fact that the risk was obvious; a plaintiff therefore may meet his burden of showing awareness of a
3 risk by presenting evidence of very obvious and blatant circumstances indicating that the prison
4 official knew the risk existed. *Foster v. Runnels*, 554 F.3d 807, 814 (9th Cir. 2009) (“risk that an
5 inmate might suffer harm as a result of the repeated denial of meals is obvious”). But while
6 obviousness of risk may be one factor in demonstrating subjective knowledge, a defendant’s
7 liability must still be based on actual awareness of the risk rather than constructive knowledge.
8 *Harrington v. Scribner*, 785 F.3d 1299, 1304 (9th Cir. 2015). While a prisoner’s failure to give
9 prison officials advance notice of a specific threat is not dispositive with respect to whether prison
10 officials acted with deliberate indifference to the prisoner’s safety needs, deliberate indifference
11 will not be found where there is no other evidence in the record showing that the defendants knew
12 of facts supporting an inference and drew the inference of substantial risk to the prisoner.
13 *Labatad*, 714 F.3d at 1160-61.

14 Here, as mentioned above, Defendants argue that they are qualifiedly immune because no
15 reasonable official would have known that their actions were unlawful. Dkt. 72 at 22-24.
16 Defendants also argue that “[Plaintiff’s] alleged constitutional right to be free from cruel and
17 unusual punishment in the form of being asked within earshot of other inmates if he wants to go
18 [to the] SNY was not clearly established under the circumstances of this case.” *Id.* at 22. Thus,
19 Defendants claim that Plaintiff fails to show that Defendant Combs was deliberately indifferent in
20 his actions of asking Plaintiff if he wanted to go to the SNY while they were within earshot of
21 other inmates. *Id.* at 23. Moreover, it seems that Defendants are claiming that Defendants
22 Spradlin, Oviatt, and Townsend were not involved in the aforementioned interview by Defendant
23 Combs, which allegedly put Plaintiff at substantial risk of harm.¹¹ *See id.* Instead, Defendants
24 point out that Defendant Spradlin’s “sole involvement in this incident was following an order to
25 escort [Plaintiff] out of his ASU cell allegedly to the “hallway rotunda area next to the showers” in

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27

¹¹ In his deposition, Plaintiff concedes that Defendants Oviatt and Townsend were not
28 involved in the April 10, 2016 interview. May 29, 2020 Lyons Decl. ¶ 3, Ex. A at 36, 57-60, 64,
68-70.

1 ASU in order for Defendant Combs to interview him.” *Id.* Furthermore, Defendants argue that
2 “[t]here is no evidence to suggest either [Defendant] Oviatt or [Defendant] Townsend were
3 personally involved with the subject incident.” *Id.* Thus, Defendants argue that these facts
4 demonstrate that they are entitled to qualified immunity. *Id.* at 22-24.

5 Even if the Court were to consider Plaintiff’s opposition (had it been verified), he asserts
6 the same allegations found in his amended complaint, but he fails to set forth any evidence that
7 Defendants’ conduct was sufficiently serious and was done with deliberate indifference to
8 Plaintiff’s safety. *See Dkt. 83.*

9 Meanwhile, in his amended complaint, Plaintiff claims in a conclusory fashion that
10 Defendants actions of “deliberately questioning him in front of other General Population inmates
11 stating do you want to go [to the SNY] were done maliciously and sadistically . . .” Dkt. 28 at 1.
12 Although self-serving affidavits may establish a genuine issue of material fact, they may do so
13 only when “they state facts based on personal knowledge and are not too conclusory.” *Rodriguez*,
14 265 F.3d at 902. Here, Plaintiff’s allegations in his amended complaint that relate to Defendants’
15 conduct are conclusory assertions and are insufficient to establish a genuine issue of material fact.
16 *See id.* Plaintiff contends that Defendants “refus[ed] to adhere to [Plaintiff’s] right to be free from
17 cruel and unusual punishment[] regarding [their] failure to safe guard[] his right to receive
18 confidentiality when [he] is being interviewed regarding his alleged safety concerns . . .” Dkt. 28
19 at 3. It seems that Plaintiff claims such actions were intentional on Defendants’ part. However,
20 such a contention is pure speculation, and not based on Plaintiff’s own personal knowledge.
21 Plaintiff’s unsupported speculation about Defendants’ mental state does not create a triable issue
22 of fact. *See Carmen v. San Francisco Unified School Dist.*, 237 F.3d 1026, 1028 (9th Cir. 2001)
23 (plaintiff’s belief that defendant acted with an unlawful motive, without supporting evidence, is
24 not cognizable evidence on summary judgment.)

25 After viewing all the evidence submitted, the Court finds that there is no genuine issue of
26 material fact with respect to Plaintiff’s claim that Defendants acted with deliberate indifference to
27 his safety. Instead, the evidence shows that Plaintiff had expressed some safety concerns relating
28 to his housing, and Defendants responded to Plaintiff’s concerns by escorting him to be

1 interviewed and interviewing him, in order to determine appropriate housing and programming,
2 given his safety concerns. And, as the Court has determined above, Plaintiff has failed to create a
3 triable issue of fact that Defendants' aforementioned conduct was sufficiently serious and was
4 done with deliberate indifference to Plaintiff's safety.

5 In sum, having considered all the evidence submitted, the Court finds the evidence fails to
6 show a violation of Plaintiff's Eighth Amendment rights. Therefore, Defendants prevail on the
7 first prong of the *Saucier* test. *See Saucier*, 533 U.S. at 201. Furthermore, the Court finds that no
8 reasonable officer would have known that Plaintiff faced a substantial risk to his safety based on
9 interviewing him about his desire to go to the SNY. *Id.* at 202. Therefore, a reasonable person in
10 Defendants' situation could have believed that their actions did not violate Plaintiff's clearly
11 established constitutional rights. *Id.* Accordingly, Defendants are entitled to qualified immunity
12 against Plaintiff's deliberate indifference to safety claim, and their renewed motion for summary
13 judgment is GRANTED based on those grounds. Dkt. 72.

14 **D. Punitive Damages Claim**

15 Finally, the dismissal of Plaintiff's claim for punitive damages is in order, as punitive
16 damages may be awarded in a section 1983 suit only "when the defendant's conduct is shown to
17 be motivated by evil motive or intent, or when it involves reckless or callous indifference to the
18 federally protected rights of others." *Smith v. Wade*, 461 U.S. 30, 56 (1983). There is no
19 indication whatsoever that Defendants' alleged wrongdoing rose to this requisite high level of
20 culpability. Accordingly, Plaintiff's claim for punitive damages is DISMISSED.

21 **IV. CONCLUSION**

22 For the reasons outlined above, the Court orders as follows:

23 1. Defendants' Administrative Motion to File Under Seal is GRANTED. Dkt. 71.
24 Exhibits A, B, C, and D attached to the July 17, 2019 Declaration of W. Reynolds shall be
25 maintained under seal and *not* entered individually on the docket until the conclusion of this case
26 and any appellate proceedings, after which time they should be returned to defense counsel upon
27 timely request.

28 2. Plaintiff's March 26, 2020 motion to compel is DENIED as unnecessary because

1 Defendants chose to respond to his discovery demands. Dkt. 63.

2 3. Plaintiff's May 29, 2020 motion to compel is DENIED both on the merits and
3 based on Plaintiff's failure to meet and confer with Defendants. Dkt. 75.

4 4. Plaintiff's motion for appointment of counsel is DENIED. Dkt. 66.

5 5. Defendants' renewed motion for summary judgment is GRANTED.¹² Dkt. 72.

6 6. Plaintiff's claim for punitive damages is DISMISSED.

7 7. The Clerk shall terminate all pending motions and close the file.

8 8. This Order terminates Docket Nos. 63, 66, 71, 72, and 75.

9 **IT IS SO ORDERED.**

10 Dated: September 30, 2020


YVONNE GONZALEZ ROGERS
United States District Judge

United States District Court
Northern District of California

27 28 ¹² The Court's finding that Defendants are entitled to summary judgment based on
qualified immunity obviates the need to address Defendants' alternative arguments in their
renewed motion for summary judgment, including that Plaintiff's claim fails because he only
partially exhausted his administrative remedies.